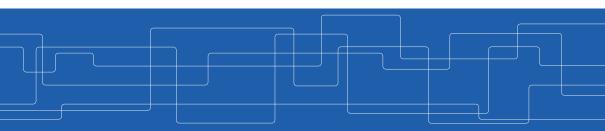


Introduction

Jim Dowling jdowling@kth.se 2022-11-10 Slides by Amir H. Payberah





Let's Start With An Example



► Handwritten digits in the MNIST dataset are 28x28 pixel greyscale images.

/ | | | | | / | / | / | VA444444444



One-Layer Network For Classifying MNIST (1/4)

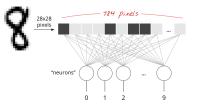


[https://github.com/GoogleCloudPlatform/tensorflow-without-a-phd]



One-Layer Network For Classifying MNIST (2/4)

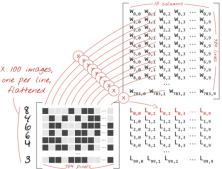
- ► Let's make a one-layer neural network for classifying digits.
- Each neuron in a neural network:
 - Does a weighted sum of all of its inputs
 - Adds a bias
 - Feeds the result through some non-linear activation function, e.g., softmax.





One-Layer Network For Classifying MNIST (3/4)

- Assume we have a batch of 100 images as the input.
- ► Using the first column of the weights matrix **W**, we compute the weighted sum of all the pixels of the first image.
 - The first neuron: $L_{0,0} = \mathtt{w}_{0,0} \mathtt{x}_0^{(1)} + \mathtt{w}_{1,0} \mathtt{x}_1^{(1)} + \dots + \mathtt{w}_{783,0} \mathtt{x}_{783}^{(1)}$
 - The 2nd neuron until the 10th: $L_{0,1} = w_{0,1}x_0^{(1)} + w_{1,1}x_1^{(1)} + \dots + w_{783,1}x_{783}^{(1)}$ \dots $L_{0,9} = w_{0,9}x_0^{(1)} + w_{1,9}x_1^{(1)} + \dots + w_{783,9}x_{783}^{(1)}$
 - Repeat the operation for the other 99 images, i.e., $\mathbf{x}^{(2)}\cdots\mathbf{x}^{(100)}$





One-Layer Network For Classifying MNIST (4/4)

L_{i.0}

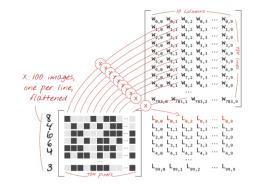
L_{i.1}

 $\mathtt{L}_{\mathtt{i},9}$

- Each neuron must now add its bias.
- Apply the softmax activation function for each instance x⁽ⁱ⁾.

•
$$\hat{\mathbf{y}}_{i} = \texttt{softmax}(\mathbf{L}_{i} + \mathbf{b})$$

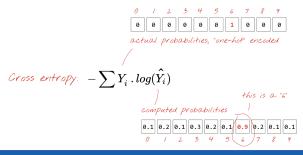






How Good the Predictions Are?

- ▶ Define the cost function J(W) as the cross-entropy of what the network tells us (ŷ_i) and what we know to be the truth (y_i), for each instance x⁽ⁱ⁾.
- ► Compute the partial derivatives of the cross-entropy with respect to all the weights and all the biases, \(\nabla_W J(W)\).
- Update weights and biases by a fraction of the gradient $W^{(next)} = W \eta \nabla_W J(W)$





```
mnist = tf.keras.datasets.mnist
(x_train, y_train), (x_test, y_test) = mnist.load_data()
```

```
model = tf.keras.Sequential([
   tf.keras.layers.Flatten(input_shape=(28, 28)),
   tf.keras.layers.Dense(10, activation='softmax')
])
```

model.compile(optimizer='sgd', loss='sparse_categorical_crossentropy', metrics=['accuracy'])

```
model.fit(x_train, y_train, batch_size=100, epochs=10)
model.evaluate(x_test, y_test)
```







- Add more layers to improve the accuracy.
- ► On intermediate layers we will use the the sigmoid activation function.
- ▶ We keep softmax as the activation function on the last layer.



[https://github.com/GoogleCloudPlatform/tensorflow-without-a-phd]



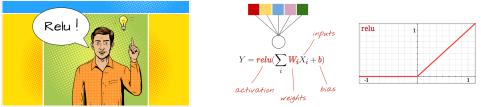
- ▶ Network initialization. e.g., using He initialization.
- Better optimizer, e.g., using Adam optimizer.



[https://github.com/GoogleCloudPlatform/tensorflow-without-a-phd]



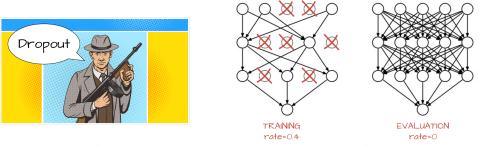
• Better activation function, e.g., using $\text{ReLU}(z) = \max(0, z)$.



[https://github.com/GoogleCloudPlatform/tensorflow-without-a-phd]



Overcome overfitting, e.g., using dropout.



[https://github.com/GoogleCloudPlatform/tensorflow-without-a-phd]



- Start fast and decay the learning rate exponentially.
- ► You can do this with the tf.keras.callbacks.LearningRateScheduler callback.



[https://github.com/GoogleCloudPlatform/tensorflow-without-a-phd]



```
model = tf.keras.models.Sequential([
   tf.keras.layers.Flatten(input_shape=(28, 28)),
   tf.keras.layers.Dense(128, kernel_initializer="he_normal", activation='relu'),
   tf.keras.layers.Dropout(0.2),
   tf.keras.layers.Dense(10, activation='softmax')
])
```

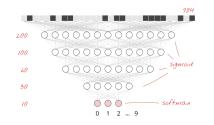
```
# lr decay function
def lr_decay(epoch):
    return 0.01 * math.pow(0.6, epoch)
```

```
# lr schedule callback
lr_decay_callback = tf.keras.callbacks.LearningRateScheduler(lr_decay, verbose=True)
```

model.fit(x_train, y_train, batch_size=100, epochs=10)
model.evaluate(x_test, y_test)



▶ Pixels of each image were flattened into a single vector (really bad idea).

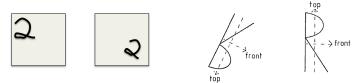


- ► Vanilla deep neural networks do not scale.
 - In MNIST, images are black-and-white 28x28 pixel images: $28 \times 28 = 784$ weights.
- Handwritten digits are made of shapes and we discarded the shape information when we flattened the pixels.



Vanilla Deep Neural Networks Challenges (2/2)

- Difficult to recognize objects.
- Rotation
- ► Lighting: objects may look different depending on the level of external lighting.
- Deformation: objects can be deformed in a variety of non-affine ways.
- ► Scale variation: visual classes often exhibit variation in their size.
- Viewpoint invariance.





Tackle the Challenges

- ► Convolutional neural networks (CNN) can tackle the vanilla model challenges.
- ► CNN is a type of neural network that can take advantage of shape information.
- ► It applies a series of filters to the raw pixel data of an image to extract and learn higher-level features, which the model can then use for classification.

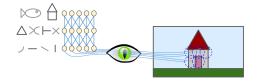


Filters and Convolution Operations



Brain Visual Cortex Inspired CNNs

- ▶ 1959, David H. Hubel and Torsten Wiesel.
- ► Many neurons in the visual cortex have a small local receptive field.
- ► They react only to visual stimuli located in a limited region of the visual field.

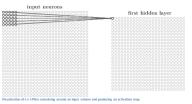






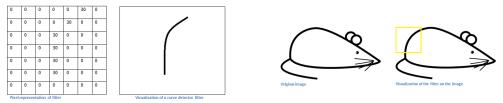
Receptive Fields and Filters

- Imagine a flashlight that is shining over the top left of the image.
- ► The region that it is shining over is called the receptive field.
- This flashlight is called a filter.
- A filter is a set of weights.
- ► A filter is a feature detector, e.g., straight edges, simple colors, and curves.



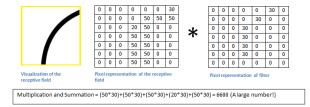


Filters Example (1/3)



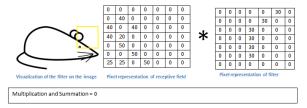


Filters Example (2/3)



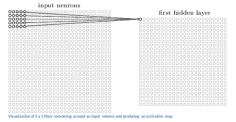


Filters Example (3/3)





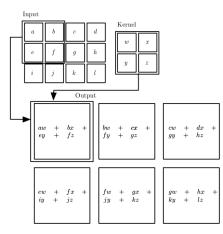
- Convolution takes a filter and multiplying it over the entire area of an input image.
- Imagine this flashlight (filter) sliding across all the areas of the input image.



[https://adeshpande3.github.io/A-Beginner's-Guide-To-Understanding-Convolutional-Neural-Networks]



Convolution Operation - 2D Example



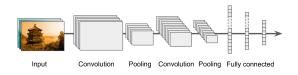


Convolutional Neural Network (CNN)



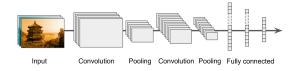
CNN Components (1/2)

- ► Convolutional layers: apply a specified number of convolution filters to the image.
- Pooling layers: downsample the image data extracted by the convolutional layers to reduce the dimensionality of the feature map in order to decrease processing time.
- Dense layers: a fully connected layer that performs classification on the features extracted by the convolutional layers and downsampled by the pooling layers.





- ► A CNN is composed of a stack of convolutional modules.
- ► Each module consists of a convolutional layer followed by a pooling layer.
- ► The last module is followed by one or more dense layers that perform classification.
- ► The final dense layer contains a single node for each target class in the model, with a softmax activation function.





Convolutional Layer

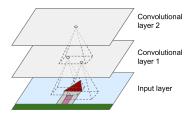




Convolutional Layer (1/4)

Sparse interactions

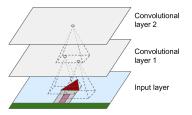
Each neuron in the convolutional layers is only connected to pixels in its receptive field (not every single pixel).





Convolutional Layer (2/4)

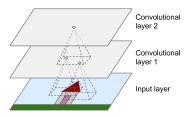
- Each neuron applies filters on its receptive field.
 - Calculates a weighted sum of the input pixels in the receptive field.
- ► Adds a bias, and feeds the result through its activation function to the next layer.
- The output of this layer is a feature map (activation map)





Convolutional Layer (3/4)

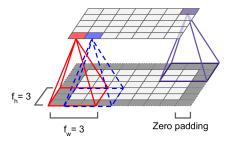
- Parameter sharing
- ► All neurons of a convolutional layer reuse the same weights.
- They apply the same filter in different positions.
- ▶ Whereas in a fully-connected network, each neuron had its own set of weights.





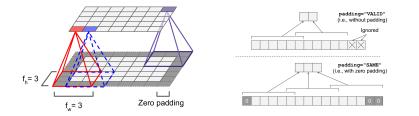
Convolutional Layer (4/4)

- Assume the filter size (kernel size) is $f_w \times f_h$.
 - ${\tt f}_h$ and ${\tt f}_w$ are the height and width of the receptive field, respectively.
- ► A neuron in row i and column j of a given layer is connected to the outputs of the neurons in the previous layer in rows i to $i + f_h 1$, and columns j to $j + f_w 1$.



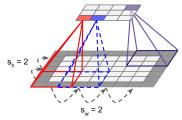


- ▶ What will happen if you apply a 5x5 filter to a 32x32 input volume?
 - The output volume would be 28x28.
 - The spatial dimensions decrease.
- Zero padding: in order for a layer to have the same height and width as the previous layer, it is common to add zeros around the inputs.
- ► In TensorFlow, padding can be either SAME or VALID to have zero padding or not.





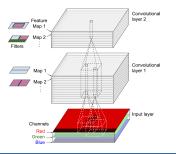
- ► The distance between two consecutive receptive fields is called the stride.
- ► The stride controls how the filter convolves around the input volume.
- ► Assume \mathbf{s}_h and \mathbf{s}_w are the vertical and horizontal strides, then, a neuron located in row i and column j in a layer is connected to the outputs of the neurons in the previous layer located in rows $\mathbf{i} \times \mathbf{s}_h$ to $\mathbf{i} \times \mathbf{s}_h + \mathbf{f}_h 1$, and columns $\mathbf{j} \times \mathbf{s}_w$ to $\mathbf{j} \times \mathbf{s}_w + \mathbf{f}_w 1$.





Stacking Multiple Feature Maps

- ▶ Up to now, we represented each convolutional layer with a single feature map.
- ► Each convolutional layer can be composed of several feature maps of equal sizes.
- ► Input images are also composed of multiple sublayers: one per color channel.
- ► A convolutional layer simultaneously applies multiple filters to its inputs.

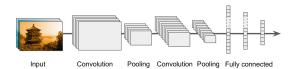




- After calculating a weighted sum of the input pixels in the receptive fields, and adding biases, each neuron feeds the result through its ReLU activation function to the next layer.
- ► The purpose of this activation function is to add non linearity to the system.



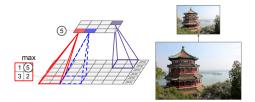
Pooling Layer





Pooling Layer (1/2)

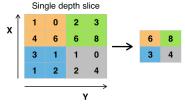
- ► After the activation functions, we can apply a pooling layer.
- ► Its goal is to subsample (shrink) the input image.
 - To reduce the computational load, the memory usage, and the number of parameters.





Pooling Layer (2/2)

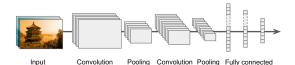
- Each neuron in a pooling layer is connected to the outputs of a receptive field in the previous layer.
- A pooling neuron has no weights.
- ► It aggregates the inputs using an aggregation function such as the max or mean.



Example of Maxpool with a 2x2 filter and a stride of 2



Fully Connected Layer





- This layer takes an input from the last convolution module, and outputs an N dimensional vector.
 - N is the number of classes that the model has to choose from.
- ► For example, if you wanted a digit classification model, N would be 10.
- ► Each number in this N dimensional vector represents the probability of a certain class.



- We need to convert the output of the convolutional part of the CNN into a 1D feature vector.
- ► This operation is called flattening.
- It gets the output of the convolutional layers, flattens all its structure to create a single long feature vector to be used by the dense layer for the final classification.



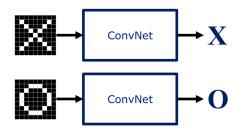
Example



A Toy ConvNet: X's and O's







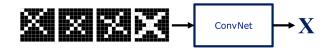


Trickier Cases

translation

scaling

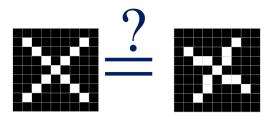
rotation



weight

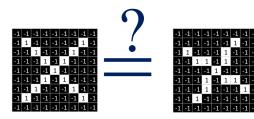


Deciding is Hard





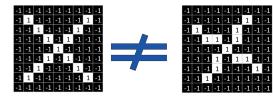
What Computers See



-1	-1	-1	-1	-1	-1	-1	-1	-1
-1	Х	-1	-1	-1	-1	Х	Х	-1
-1	Х	Х	-1	-1	Х	Х	-1	-1
-1	-1	Х	1	-1	1	-1	-1	-1
					-1			
-1	-1	-1	1	-1	1	Х	-1	-1
-1	-1	Х	Х	-1	-1	Х	Х	-1
-1	Х	Х	-1	-1	-1	-1	Х	-1
-1	-1	-1	-1	-1	-1	-1	-1	-1

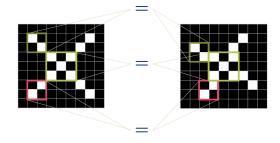


Computers are Literal

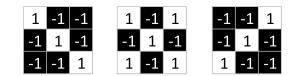




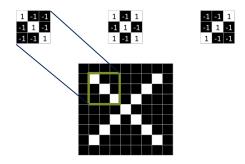
ConvNets Match Pieces of the Image



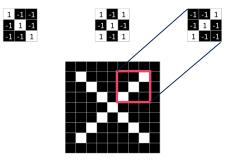






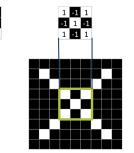






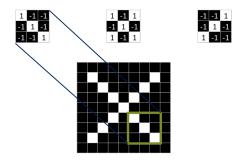


1 -1 -1 -1 1 -1

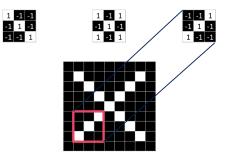


-1 -1 1 -1 1 -1 1 -1 -1

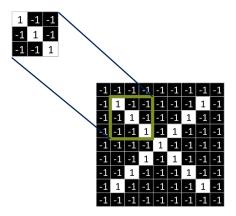




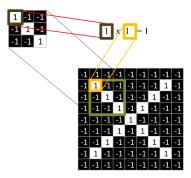






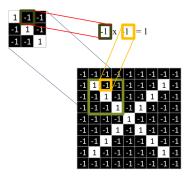






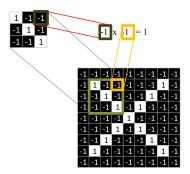






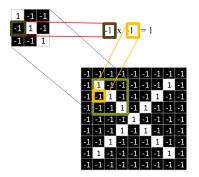






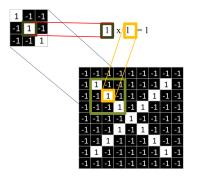






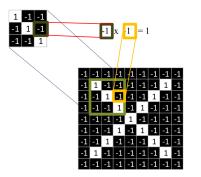










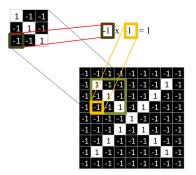


1

1 1

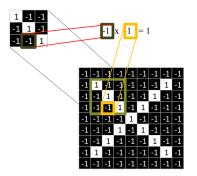
1 1 1





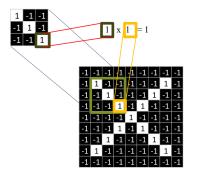






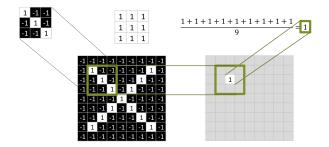




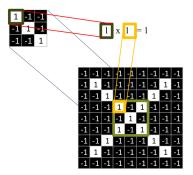






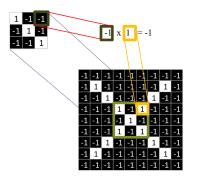








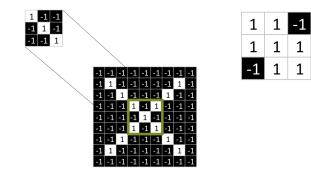






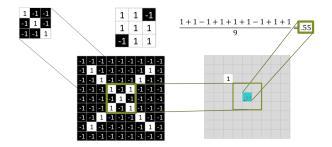


Filtering: The Math Behind the Match



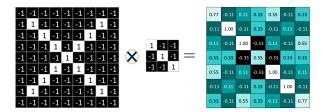


Filtering: The Math Behind the Match



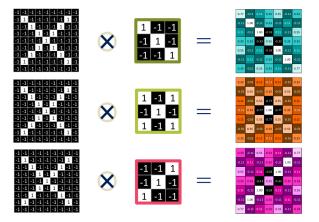


Convolution: Trying Every Possible Match



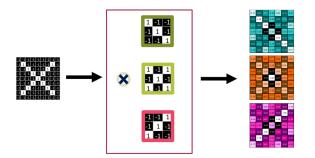


Three Filters Here, So Three Images Out

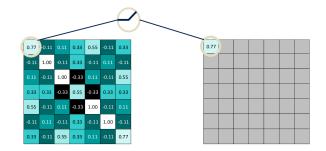




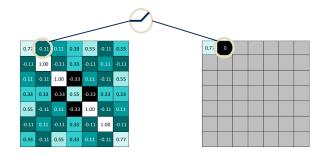
• One image becomes a stack of filtered images.



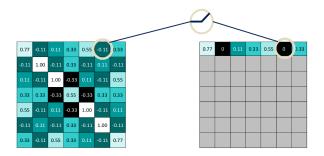




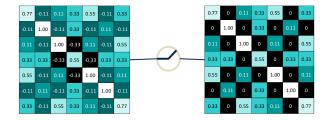






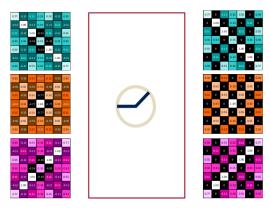




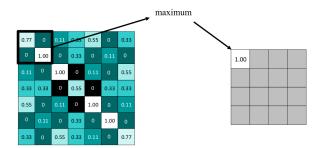




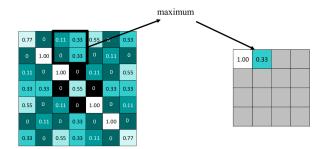
► A stack of images becomes a stack of images with no negative values.



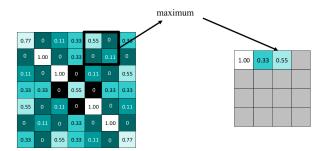




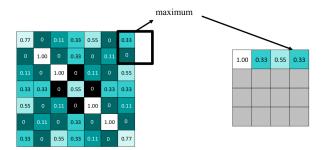




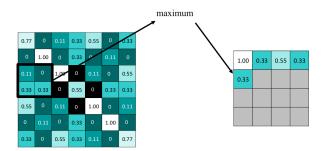














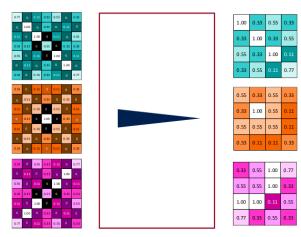
0.77	0	0.11	0.33	0.55	0	0.33
	1.00		0.33		0.11	
		1.00				0.55
0.33	0.33		0.55		0.33	0.33
0.55				1.00		
			0.33		1.00	
0.33		0.55	0.33			0.77

max pooling

1.00	0.33	0.55	0.33
0.33	1.00	0.33	0.55
0.55	0.33	1.00	0.11
0.33	0.55	0.11	0.77

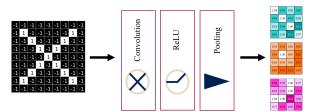


Repeat For All the Filtered Images





▶ The output of one becomes the input of the next.



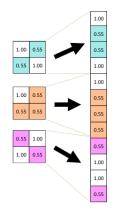


Deep Stacking

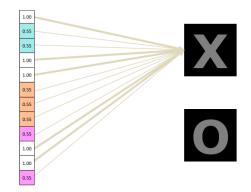




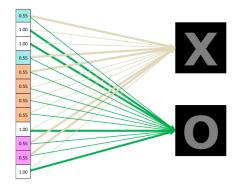
► Flattening the outputs before giving them to the fully connected layer.



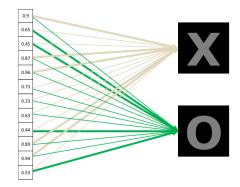




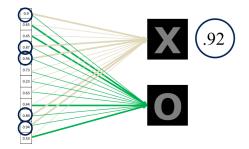




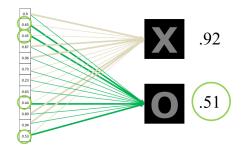




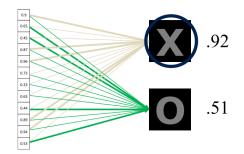




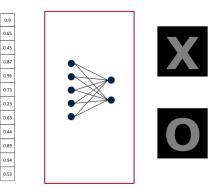




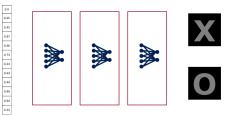














Putting It All Together



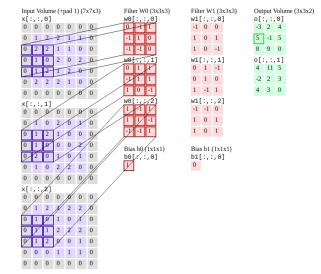






One more example

- A conv layer.
- Computes 2 feature maps.
- ► Filters: 3x3 with stride of 2.
- ▶ Input tensor shape: [7,7,3].
- Output tensor shape: [3, 3, 2].



[http://cs231n.github.io/convolutional-networks]







CNN in TensorFlow



CNN in TensorFlow (1/7)

- ► A CNN for the MNIST dataset with the following network.
- ► Conv. layer 1: computes 32 feature maps using a 5x5 filter with ReLU activation.
- ▶ Pooling layer 1: max pooling layer with a 2x2 filter and stride of 2.
- ► Conv. layer 2: computes 64 feature maps using a 5x5 filter.
- ▶ Pooling layer 2: max pooling layer with a 2x2 filter and stride of 2.
- ► Dense layer: densely connected layer with 1024 neurons.
- Softmax layer



CNN in TensorFlow (2/7)

- ► Conv. layer 1: computes 32 feature maps using a 5x5 filter with ReLU activation.
- Padding same is added to preserve width and height.
- Input tensor shape: [batch_size, 28, 28, 1]
- Output tensor shape: [batch_size, 28, 28, 32]

MNIST images are 28x28 pixels, and have one color channel: [28, 28, 1]



CNN in TensorFlow (3/7)

- ▶ Pooling layer 1: max pooling layer with a 2x2 filter and stride of 2.
- Input tensor shape: [batch_size, 28, 28, 32]
- Output tensor shape: [batch_size, 14, 14, 32]

tf.keras.layers.MaxPooling2D(pool_size=2, strides=2)



CNN in TensorFlow (4/7)

- ► Conv. layer 2: computes 64 feature maps using a 5x5 filter.
- ▶ Padding same is added to preserve width and height.
- Input tensor shape: [batch_size, 14, 14, 32]
- Output tensor shape: [batch_size, 14, 14, 64]

tf.keras.layers.Conv2D(kernel_size=5, filters=64, activation='relu', padding='same')



CNN in TensorFlow (5/7)

- ► Pooling layer 2: max pooling layer with a 2x2 filter and stride of 2.
- Input tensor shape: [batch_size, 14, 14, 64]
- Output tensor shape: [batch_size, 7, 7, 64]

tf.keras.layers.MaxPooling2D(pool_size=2, strides=2)



CNN in TensorFlow (6/7)

- Flatten tensor into a batch of vectors.
 - Input tensor shape: [batch_size, 7, 7, 64]
 - Output tensor shape: [batch_size, 7 * 7 * 64]

tf.keras.layers.Flatten()

- ► Dense layer: densely connected layer with 1024 neurons.
 - Input tensor shape: [batch_size, 7 * 7 * 64]
 - Output tensor shape: [batch_size, 1024]

tf.keras.layers.Dense(1024, activation='relu')

- ► Softmax layer: softmax layer with 10 neurons.
 - Input tensor shape: [batch_size, 1024]
 - Output tensor shape: [batch_size, 10]

tf.keras.layers.Dense(10, activation='softmax')



CNN in TensorFlow (7/7)





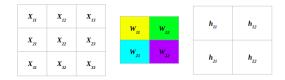


Training CNNs



Training CNN (1/4)

- ► Let's see how to use backpropagation on a single convolutional layer.
- ► Assume we have an input X of size 3×3 and a single filter W of size 2×2.
- No padding and stride = 1.
- ▶ It generates an output H of size 2x2.





Training CNN (2/4)

► Forward pass



$$\begin{split} h_{11} &= \mathtt{W}_{11}\mathtt{X}_{11} + \mathtt{W}_{12}\mathtt{X}_{12} + \mathtt{W}_{21}\mathtt{X}_{21} + \mathtt{W}_{22}\mathtt{X}_{22} \\ h_{12} &= \mathtt{W}_{11}\mathtt{X}_{12} + \mathtt{W}_{12}\mathtt{X}_{13} + \mathtt{W}_{21}\mathtt{X}_{22} + \mathtt{W}_{22}\mathtt{X}_{23} \\ h_{21} &= \mathtt{W}_{11}\mathtt{X}_{21} + \mathtt{W}_{12}\mathtt{X}_{22} + \mathtt{W}_{21}\mathtt{X}_{31} + \mathtt{W}_{22}\mathtt{X}_{32} \\ h_{22} &= \mathtt{W}_{11}\mathtt{X}_{22} + \mathtt{W}_{12}\mathtt{X}_{23} + \mathtt{W}_{21}\mathtt{X}_{32} + \mathtt{W}_{22}\mathtt{X}_{33} \end{split}$$



Training CNN (3/4)

- Backward pass
- \blacktriangleright E is the error: $E=E_{h_{11}}+E_{h_{12}}+E_{h_{21}}+E_{h_{22}}$

<i>x</i> ₁₁	x	x				
11	A 12	A ₁₃	W.,	W ₁₂	h ₁₁	h ₁₂
X ₂₁	X ₂₂	X23	n			
			<i>W</i> ₂₁	W_22	h ₂₁	h ₂₂
X ₃₁	X ₃₂	X ₃₃			21	

∂e _	$\partial E_{h_{11}} \partial h_{11}$	$\partial E_{h_{12}} \partial h_{12}$	$\partial E_{h_{21}} \partial h_{21}$	$\partial E_{h_{22}} \; \partial h_{22}$
∂W_{11}	$\partial \mathbf{h}_{11} \partial \mathbf{W}_{11}$	$\partial h_{12} \partial W_{11}$	$\partial \mathbf{h}_{21} \partial \mathbf{W}_{11}$	$\overline{\partial h_{22}} \ \overline{\partial W_{11}}$
∂e _	$\partial E_{h_{11}} \partial h_{11}$	$\partial E_{h_{12}} \partial h_{12}$	$\partial E_{h_{21}} \partial h_{21}$	$\partial E_{h_{22}} \; \partial h_{22}$
∂W_{12}	$\partial \mathbf{h}_{11} \partial \mathbf{W}_{12}$	$\overline{\partial h_{12}} \overline{\partial W_{12}}^{\top}$	$\overline{\partial \mathbf{h}_{21}} \overline{\partial \mathbf{W}_{12}}^{\top}$	$\overline{\partial h_{22}} \overline{\partial W_{12}}$
∂e _	$\partial E_{h_{11}} \partial h_{11}$	$\partial E_{h_{12}} \partial h_{12}$	$\partial E_{h_{21}} \partial h_{21}$	$\partial E_{h_{22}} \; \partial h_{22}$
∂W_{21}	$\partial h_{11} \partial W_{21}$	$\partial \mathtt{h}_{12} \ \partial \mathtt{W}_{21}$	$\partial \mathbf{h}_{21} \ \partial \mathbf{W}_{21}$	$\partial h_{22} \ \partial W_{21}$
$\frac{\partial W_{21}}{\partial E}$	$\frac{\partial h_{11}}{\partial W_{21}} \frac{\partial W_{21}}{\partial h_{11}} \frac{\partial h_{11}}{\partial h_{11}} + \frac{\partial h_{11}}{\partial $	$\frac{\partial h_{12}}{\partial E_{h_{12}}} \frac{\partial W_{21}}{\partial h_{12}} +$	$\partial h_{21} \ \partial W_{21}$ $\partial E_{h_{21}} \ \partial h_{21}$	$\frac{\partial h_{22}}{\partial E_{h_{22}}} \frac{\partial W_{21}}{\partial h_{22}}$



Training CNN (4/4)

► Update the wights W



$$\begin{split} \mathbb{W}_{11}^{(\text{next})} &= \mathbb{W}_{11} - \eta \frac{\partial \mathbb{E}}{\partial \mathbb{W}_{11}} \\ \mathbb{W}_{12}^{(\text{next})} &= \mathbb{W}_{12} - \eta \frac{\partial \mathbb{E}}{\partial \mathbb{W}_{12}} \\ \mathbb{W}_{21}^{(\text{next})} &= \mathbb{W}_{21} - \eta \frac{\partial \mathbb{E}}{\partial \mathbb{W}_{21}} \\ \mathbb{W}_{22}^{(\text{next})} &= \mathbb{W}_{22} - \eta \frac{\partial \mathbb{E}}{\partial \mathbb{W}_{22}} \end{split}$$



Summary





- ► Receptive fields and filters
- Convolution operation
- Padding and strides
- Pooling layer
- ► Flattening, dropout, dense



- Tensorflow and Deep Learning without a PhD https://codelabs.developers.google.com/codelabs/cloud-tensorflow-mnist
- ► Ian Goodfellow et al., Deep Learning (Ch. 9)
- ► Aurélien Géron, Hands-On Machine Learning (Ch. 14)



Questions?